National Incident Management System

Mariano Gonzalez

NIMSCAST SURVEY Coordinator,

Arizona Division of Emergency Management

Homeland Security

Homeland Security Presidential Directive – 5

Directed the development of the National Incident Management System and the National Response Framework…

Homeland
Security

National Incident Management System

…a consistent nationwide approach for federal, state, tribal, and local governments to work effectively and efficiently together to prepare for and respond to all hazards, including acts of terrorism.

Homeland Security

What NIMS is about….

Common incident management doctrine, practices and principles, and …

- Use of ICS

- Flexible response operations

- Common terminology for ordering, tracking resources

- Plans for staging and allocating equipment, supplies and assistance

- Effective communications among responders, EOCs and with the public

Homeland Security

Components of NIMS

- Command and Management

- Preparedness

- Resource Management

- Communications and Information Management

- Supporting Technologies

- Ongoing Management and Maintenance

Homeland Security

Implementation and Compliance

FY 2005	States and territories must meet minimum FY 05 NIMS implementation requirements. Tribal and local governments encouraged to start implementing the NIMS.	Self Certification: The state, taken as a whole, has met the minimum FY05 requirements State certification required to receive FY06 preparedness funds
FY 2006	States, territories, tribes and local governments <u>must</u> meet the FY06 NIMS implementation requirements.	Self Certification: The state (including its local jurisdictions) has met the minimum FY06 requirements State certification required to receive FY07 preparedness funds
FY 2007 and beyond	Full NIMS compliance is required for all federal preparedness funds. States, territories, tribes and local governments continue to implement the NIMS and meet additional requirements, as identified by the NIMS Integration Center, to ensure the continued success of the NIMS.	State certification of ongoing NIMS compliance requirements NIMS compliance continues to be required to receive federal preparedness funds.

Homeland Security

Performance Measuring

- The NIC is moving towards performance measurements in our training requirements as well as other NIMS requirements

- FY07 State and local NIMS implementation activities will be based on metrics via self-certification

- Presently pilot testing 9 ICS Command and General staff position specific training courses that will be based on performance based all-hazard core competencies

Homeland
Security

FEMA — FY 2008 NIMS Compliance Objectives

NIMS Compliance Objectives (requirements) from previous Federal fiscal years remain on-going commitments in the present fiscal year. **Therefore, State, territorial, Tribal, and local jurisdictions must continue to support *all* NIMS objectives required or underway in order to achieve full NIMS compliance.**

The chart below depicts the 27 NIMS Compliance Objectives prescribed by National Integration Center's Incident Management Systems Integration (IMSI) Division in FY 2008 [note: Many of these activities were originally prescribed in FYs 2005-2007]. To be compliant in FY 2008, jurisdictions must affirmatively answer Compliance Metrics relating to those specific NIMS Compliance Objectives listed below.

NIMS Component	NIMS Compliance Objective	State/ Territory	Tribal Nations	Local
ADOPTION	1. Adopt NIMS for all Departments/Agencies; as well as promote and encourage NIMS adoption by associations, utilities, nongovernmental organizations (NGOs) and private sector emergency management and incident response organizations.	2005		
	2. Establish and maintain a planning process to communicate, monitor, and implement all NIMS compliance objectives across the State/Territory/Tribal Nation (including Departments/Agencies), to include local governments. This process must provide a means for measuring progress and facilitate reporting.	2006	2008	N/A
	3. Designate and maintain a single point of contact within government to serve as principal coordinator for NIMS implementation jurisdiction-wide (to include a principal coordinator for NIMS implementation within each Department/Agency).	2006	2007	
	4. Ensure that Federal Preparedness Awards [to include, but not limited to, DHS Homeland Security Grant Program and Urban Area Security Initiative Funds] to State/Territorial/Tribal Departments/Agencies, as well as local governments, support all required NIMS Compliance Objectives (requirements).	2005	2008	
	5. Audit agencies and review organizations should routinely include NIMS Compliance Objectives (requirements) in all audits associated with Federal Preparedness Awards.	2006	2008	
	6. Assist Tribal Nations with formal adoption and implementation of NIMS.	2007	N/A	
PREPAREDNESS — Planning	7. Revise and update emergency operations plans (EOPs), standard operating procedures (SOPs), and standard operating guidelines (SOGs) to incorporate NIMS and National Response Framework (NRF) components, principles and policies, to include planning, training, response, exercises, equipment, evaluation, and corrective actions.	2005		
	8. Promote and/or develop intrastate and interagency mutual aid agreements and assistance agreements (to include agreements with the private sector and NGOs).	2005		
	9. Use existing resources such as programs, personnel and training facilities to coordinate and deliver NIMS training requirements.	2006	2008	
Training	10. Complete IS-700 *NIMS: An Introduction* or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).	2006		
	11. Complete IS-800 *National Response Framework (NRF): An Introduction* or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).	2006		
	12. Complete ICS-100 *Introduction to ICS* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).	2006		
	13. Complete ICS-200 *ICS for Single Resources and Initial Action Incidents* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).	2006		
	14. Complete ICS-300 *Intermediate ICS* training or equivalent by appropriate personnel (as identified in the *Five-Year NIMS Training Plan*, February 2008).	2007		
Exercises	15. Incorporate NIMS concepts and principles into all appropriate State/Territorial/Tribal training and exercises.	2005		
	16. Plan for and/or participate in an all-hazards exercise program [for example, Homeland Security Exercise and Evaluation Program] that involves emergency management/response personnel from multiple disciplines and/or multiple jurisdictions.	2006		
	17. Incorporate corrective actions into preparedness and response plans and procedures.	2006		
COMMUNICATION AND INFORMATION MANAGEMENT	18. Apply common and consistent terminology as used in NIMS, including the establishment of plain language (clear text) communications standards.	2006		
	19. Utilize systems, tools, and processes to present consistent and accurate information (e.g., common operating picture) during an incident/planned event.	2007		
RESOURCE MANAGEMENT	20. Inventory response assets to conform to NIMS National Resource Typing Definitions, as defined by FEMA Incident Management Systems Integration Division.	2006		
	21. Ensure that equipment, communications and data systems acquired through State/Territorial and local acquisition programs are interoperable.	2006		
	22. Utilize response asset inventory for intrastate/interstate mutual aid requests [such as Emergency Management Assistance Compact (EMAC)], training, exercises, and incidents/planned events.	2007		
	23. Initiate development of a State/Territory/Tribal-wide system (that incorporates local jurisdictions) to credential emergency management/response personnel to ensure proper authorization and access to an incident including those involving mutual aid agreements and/or assistance agreements.	2008		
COMMAND AND MANAGEMENT — Incident Command System	24. Manage all incidents/ planned events in accordance with ICS organizational structures, doctrine and procedures. ICS implementation must include the consistent application of Incident Action Planning (IAP), common communications plans, implementation of Area Command to oversee multiple incidents that are handled by separate ICS organizations or to oversee the management of a very large or evolving incident that has multiple incident management teams engaged, and implementation of unified command (UC) in multi-jurisdictional or multi-agency incident management, as appropriate.	2006		
Multi-Agency Coordination System (MACS)	25. Coordinate and support emergency management and incident response objectives through the development and use of integrated MACS, [i.e. develop/maintain connectivity capability between local Incident Command Posts (ICPs), local 911 Centers, local/regional/State/territorial/tribal/Federal Emergency Operations Centers (EOCs), as well as NRF organizational elements.]	2006		
Public Information	26. Institutionalize, within the framework of ICS, Public Information, [e.g., Joint Information System (JIS) and a Joint Information Center (JIC)] during an incident/planned event.	2006		
	27. Ensure that Public Information procedures and processes can gather, verify, coordinate, and disseminate information during an incident/planned event.	2007		

March 2008

NIMS *Alert* December 3, 2007 NA: 34-07

- "Additionally, the requirement to complete ICS-400: Advanced ICS in FY08 will now actually be a FY09 requirement. (Note: ICS-300: Intermediate ICS will remain a FY08 requirement)"

Homeland Security

FY 2007: NIMS Compliance Transition

- Transition in FY 2007 towards performance-based metrics
  - Metrics based on compliance requirement statements (matrix)

- FY 2007 NIMS Compliance
  - Letter to Governors identifies implementation requirements and compliance metrics in October 2006
  - Compliance Metrics incorporated into National Incident Management Compliance Assistance Support Tool (NIMSCAST)
  - DHS Office of Grants & Training conducts NIMS monitoring
  - Technical Assistance from all FEMA Regions

Homeland Security

NIMS Compliance Metrics

- **Metrics:**
  - Required for FY 2007 NIMS Compliance
  - Jurisdictions must record affirmative answers
  - Mechanism to measure performance
  - Establish targets for FY 2008
  - FY 2008: DHS G&T validates responses for initial (06)metrics

- **Metrics:**
  - These questions monitor ongoing (FYs 2006-2007) NIMS implementation activities
  - Jurisdictions are not required to record an affirmative answer to achieve FY 2007 Compliance
  - Answers to these questions must be submitted
  - Inform the NIC on successes, gaps, and shortfalls
  - Establish targets for FY 2008 (potentially)

Homeland Security

NIMS Compliance Metrics Goals

- Gather information on current state of NIMS compliance
  - Measures performance of NIMS implementation activities
  - Helps determine appropriate compliance targets

- Compile best practices and identify compliance shortfalls

- Provide continued guidance and feedback for increased NIMS compliance

- Assist refinement of metrics and NIMSCAST

Homeland Security

Monitoring

- G&T may review before a monitoring visit

- Other grant making agencies could request report for monitoring

- Assist in compliance verification

Training: Who needs to take what?

- *IS-700 NIMS: An Introduction*
  - All personnel with a direct role in emergency preparedness, incident management, or response

- *IS-800b NRF: An Introduction*
  - All Federal, state, territorial, tribal, and local emergency managers or personnel whose primary responsibility is emergency management

- *ICS-100: Introduction to ICS*
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the entry level, first line supervisor level, middle management level, and command and general staff level of emergency management operations

- *ICS-200: Basic ICS*
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the first line supervisor level, middle management level, and command and general staff level of emergency management operations
  - *HINT: G402 ICS Overview for Executives/Senior Officials!*

Homeland Security

New FY 2007 and Out Year Training

- **ICS-300 Intermediate (Required in FY08)**
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the middle management level, and command and general staff level of emergency management operations

- **ICS-400 Advanced (Required in FY09)**
  - All Federal, State, territorial, tribal, local, private sector and non-governmental personnel at the command and general staff level of emergency management operations

- **Additional NIMS Training (Recommended)**
  - Training available for all audiences in the following areas: NIMS Multi-agency Coordination Systems, Public Information Systems, Communications and Information Management, Resource Management, Resource Typing, Mutual Aid, and NIMS Preparedness

- **ICS-Position Specific Training for FY12**
  - Training required for ICS Command and General Staff positions: Incident Commander, Safety Office, Liaison Officer, Public Information Officer, Operations Section Chief, Planning Section Chief, Logistics Section Chief, and Finance/Admin Section Chief

Homeland Security

NIMS Training

- One of the most important and essential elements of NIMS compliance

- Required as a condition for receiving federal preparedness funds

- NIMS base-line training must become an integral element of any jurisdiction / agency respective training programs

- Successful implementation is dependent upon participation and integration of all response disciplines within this training

NIMSCAST Compliance Assistance Support Tool:

http://www.fema.gov/nimscast/AssessmentPdfs.do

NIMSCAST LOG-IN:

http://www.fema.gov/nimscast/Logon.do;jsessionid=6DCAACD32E2DD16F2D869C0B21E0F099

Class G-402:

http://www.training.fema.gov/STCourses/crsdesc_spec.asp?courseid=G402

list of recommended courses:

http://www.fema.gov/pdf/emergency/nims/TrainingGdlMatrix.pdf

Homeland Security

NIMS Compliance and Technical Assistance:
http://www.fema.gov/emergency/nims/nims_compliance.shtm

FY 2008 Compliance Package:
http://www.fema.gov/emergency/nims/compliance/2008.shtm

FY2007-2008 NIMS Compliance:
http://www.fema.gov/library/viewRecord.do?id=3079

FY2008 NIMS Compliance AI Fluman Memo:
http://www.fema.gov/library/viewRecord.do?id=3191

Homeland Security

DISCUSSION

NIMSCAST: AZ. Point of Contact: ADEM

- Mariano.gonzalez@azdema.gov

- Ofc: 602.231.6327

- Hint: NIMSCAST Resource: County Emergency Management Directors

- THANK YOU FOR YOUR ATTENTION!