



State of Arizona Department of Homeland Security



Governor Douglas A. Ducey

Director Gilbert M. Orrantia

FFY 2016 Urban Area Security Initiative Nonprofit Security Grant Program (UASI NSGP)

Phoenix UASI Only

Information Sheet

As appropriated by the *Department of Homeland Security Appropriations Act, 2016* (Public Law 114-113); and authorized by Section 2003 of the *Homeland Security Act of 2002*, (Public Law 107-296), as amended (6 U.S.C. § 604); the Federal Fiscal Year (FFY) 2016 Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP) provides funding support for target hardening and other physical security enhancements and activities to nonprofit organizations that are at high risk of terrorist attack. The program is also designed to promote coordination and collaboration in emergency preparedness activities among public and private community representatives, as well as state and local government agencies. The FFY 2016 NSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the building, sustainment and delivery of core capabilities essential to achieving the National Preparedness Goal (NPG) of a secure and resilient Nation.

Eligible Applicants

- Registered 501(c)(3) nonprofit organizations **located within the Phoenix Urban Area Security Initiative (UASI)**
- Nonprofit organizations that are at high risk of terrorist attack, includes, but not limited to:
 - Identification and substantiation (e.g., police reports or insurance claims) of current or persistent threats or attacks against the nonprofit organization or closely related organizations (within or outside the U.S.) by a terrorist organization, network, or cell against the applicant based on their ideology, beliefs or mission
 - Symbolic value of the site(s) as a highly recognized regional and/or national or historical institution that renders the site as a possible target of terrorism
 - Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks
 - Findings from previously conducted threat and/or vulnerability assessments

Scope of Eligible Target Hardening Projects

Equipment

Allowable costs are focused on target hardening activities. Funding can be used for the acquisition and installation of security equipment on real property (including buildings and improvements) owned or leased by the nonprofit organization, specifically in prevention of and/or protection against the risk of a terrorist attack. This equipment is **limited to select items in two categories** of items on the US DHS Authorized Equipment List (AEL):

- Physical Security Enhancement Equipment (Category 14)
- Inspection and Screening Systems (Category 15)



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The two allowable prevention and protection categories and equipment standards for the NSGP are listed on DHS AEL located on the DHS/FEMA site at <https://www.fema.gov/authorized-equipment-list>. Unless otherwise stated, equipment must meet all mandatory regulatory and/or DHS-adopted standards to be eligible for purchase using these funds, including the Americans with Disabilities Act. In addition, agencies will be responsible for obtaining and maintaining all necessary certifications and licenses for the requested equipment.

Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Large equipment purchases must be identified and explained. For more information regarding property management standards for equipment, please reference 2 C.F.R. Part 200, including 2 C.F.R. §§200.310, 200.313, and 200.316.

Construction and Renovation

NSGP funding may not be used for construction and renovation projects without prior written approval from DHS/FEMA. All recipients of NSGP program funds must request and receive approval from DHS/FEMA before any NSGP Program funds are used for any construction or renovation. Additionally, recipients are required to submit a SF-424C Budget and budget detail citing the project costs. The total cost of any construction or renovation paid for using NSGP Program funds may not exceed the greater amount of \$1,000,000 or 15% of the NSGP award. Recipients and subrecipients are also encouraged to have completed as many steps as possible for a successful EHP review in support of their proposal for funding (e.g., coordination with their State Historic Preservation Office to identify potential historic preservation issues and to discuss the potential for project effects, compliance with all state and EHP laws and requirements). Projects for which the recipient believes an Environmental Assessment (EA) may be needed, as defined in 44 C.F.R. § 10.8, must also be identified to the Headquarters Program Analyst within six months of the award and completed EHP review materials must be submitted no later than 12 months before the end of the period of performance. EHP review packets should be sent to gpdehpinfo@fema.gov.

NSGP Program recipients using funds for construction projects must comply with the Davis-Bacon Act (40 U.S.C. § 3141 et seq.). Grant recipients must ensure that their contractors or subcontractors for construction projects pay workers no less than the prevailing wages for laborers and mechanics employed on projects of a character similar to the contract work in the civil subdivision of the state in which the work is to be performed. Additional information regarding compliance with the Davis-Bacon Act, including Department of Labor (DOL) wage determinations, is available from the following website: <http://www.dol.gov/compliance/laws/comp-dbra.htm>.

Training

Non-profit organization security personnel may only use NSGP funds to attend security-related training courses and programs within the United States. Allowable training-related costs under the NSGP are limited to attendance fees for training, and related expenses, such as materials, supplies, and/or equipment. Overtime, backfill, and/or travel expenses are not allowable costs. Allowable training topics are limited to the protection of critical infrastructure key resources, including physical and cyber security, target hardening, and terrorism awareness/employee preparedness. Training conducted using NSGP funds must address a specific threat and/or vulnerability, as identified in the non-profit organization's IJ. Training should provide the opportunity to demonstrate and validate skills learned, as well as to identify any gaps in these skills.

Proposed attendance at training courses and all associated costs leveraging the NSGP must be included in the non-profit organization's IJ.



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Application Information

- **April 11, 2016 – Investment Justification Applications, Vulnerability Risk Assessment, Data Collection Forms and Mission Statements** must be submitted to AZDOHS no later than **5:00 p.m.** The Investment Justification Application template is available at <http://www.azdohs.gov/Grants/FFY2016.asp>. No other forms of application will be accepted.
- Eligible nonprofit organizations may apply for an award amount **up to \$75,000**.
- **501(c)(3) Requirement** – Applicants must be a registered nonprofit organization.
 - Applicant must provide a Dun and Bradstreet Universal Numbering System (DUNS) Number.
- Eligible nonprofit organizations **must submit a copy of their organization's Mission Statement and a Vulnerability Risk Assessment (on which the application is based) with their application.** For more information on obtaining a Vulnerability Risk Assessment, please contact your local law enforcement and request to speak to a Terrorism Liaison Officer (TLO).
- **Data Collection Form:** Complete information on all 3 tabs.
- Please note that should a nonprofit organization receive an award, an Environmental Planning and Historic Preservation compliance review will be required prior to beginning any project.

Tips for Completing the UASI NSGP Investment Justification Application

- **Review the scoring criteria** on pages 14-15 of the FFY 2016 UASI NSGP Guidance (Notice of Funding Opportunity) as well as the **review criteria** on pages 13.
- **Note character limits for each section of the Investment Justification Application.** You may want to consider creating the text in MS Word so that you can check the character count as well as spell-check. Then copy and paste into the Excel template.
- **Excel Investment Justification Application (Template)** – When opening the file, you may need to “enable content” to be able to enter text.
- **Investment Justification Application, Section V – Milestones:** Milestones should be within a one-year period starting 10/1/16 and ending 9/30/17.
- **Save the file in Excel 97-2003 format** using the following naming convention: **FY 2016 AZ_PhX UASI_(Nonprofit Name)**
- **Read the Helpful Hints on the Introduction tab of the Excel Investment Justification template.**



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For additional questions or information, contact Cheryl Bowen Kennedy, Arizona Department of Homeland Security at cbowen@azdohs.gov or 602.542.7077.