



State of Arizona Department of Homeland Security



Governor Janice K. Brewer

Director Gilbert M. Orrantia

FFY 2014 Urban Area Security Initiative Nonprofit Security Grant Program (UASI NSGP)

Phoenix UASI Only

Information Sheet

As appropriated by the *Department of Homeland Security Appropriations Act, 2014* (Public Law 113-76); and authorized by Section 2003 of the *Homeland Security Act of 2002*, (Public Law 107-296), as amended (6 U.S.C. § 604); the Fiscal Year (FY) 2014 Urban Areas Security Initiative (UASI) Nonprofit Security Grant Program (NSGP) provides funding support for target hardening and other physical security enhancements and activities to nonprofit organizations that are at high risk of terrorist attack. The program provides funding specifically to high-risk nonprofit organizations and seeks to integrate nonprofit preparedness activities with broader state and local preparedness efforts. The program is also designed to promote coordination and collaboration in emergency preparedness activities among public and private community representatives, as well as state and local government agencies. The FY 2014 NSGP plays an important role in the implementation of the National Preparedness System (NPS) by supporting the development and sustainment of core capabilities to achieve the National Preparedness Goal (NPG).

Eligible Applicants

- Registered 501(c)(3) nonprofit organizations located within the Phoenix Urban Area Security Initiative (UASI)
- Nonprofit organizations that are at high risk of terrorist attack, includes, but not limited to:
 - Identification and substantiation (e.g., police reports or insurance claims) of prior threats or attacks against the nonprofit organization or closely related organizations (within or outside the U.S.) by a terrorist organization, network, or cell
 - Symbolic value of the site(s) as a highly recognized national or historical institution that renders the site as possible target of terrorism
 - Role of the applicant nonprofit organization in responding to or recovering from terrorist attacks
 - Findings from previously conducted risk assessments including threat or vulnerability

Scope of Eligible Target Hardening Projects

Equipment

Allowable costs are focused on target hardening activities. Funding can be used for the acquisition and installation of security equipment on real property (including buildings and improvements) owned or leased by the nonprofit organization, specifically in prevention of and/or protection against the risk of a terrorist attack. This equipment is **limited to two categories** of items on the US DHS Authorized Equipment List (AEL):

- Physical Security Enhancement Equipment (Category 14)
- Inspection and Screening Systems (Category 15)



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The two allowable prevention and protection categories and equipment standards for the NSGP are listed on the web-based version of the AEL on the Responder Knowledge Base (RKB), at https://www.ilis.dhs.gov/sites/default/files/AEL_PDF_Complete.pdf. Unless otherwise stated, equipment must meet all mandatory regulatory and/or DHS-adopted standards to be eligible for purchase using these funds including the Americans Disabilities Act. In addition, agencies will be responsible for obtaining and maintaining all necessary certifications and licenses for the requested equipment. Costs associated with inclusive practices and the provision of reasonable accommodations and modifications to provide full access for children and adults with disabilities are allowable.

Construction and Renovation

For construction costs to be allowed, they must be specifically approved by FEMA in writing prior to the use of any NSGP program funds for construction or renovation. Limits on the total amount of grant funding that may be used for construction or renovation may apply. Additionally, grantees are required to submit a SF-424C Budget and Budget detail citing the project costs.

Construction projects are only permitted where they will assist the non-profit to achieve capability targets related to preventing, preparing for, protecting against, or responding to acts of terrorism, including through the alteration or remodeling of existing buildings for the purpose of making them secure against acts of terrorism. NSGP funding may not be used for construction and renovation projects without prior written approval from FEMA. All recipients of NSGP program funds must request and receive approval from FEMA before any NSGP Program funds are used for any construction or renovation. Additionally, grantees are required to submit a SF-424C Budget and Budget detail citing the project costs. The total cost of any construction or renovation paid for using NSGP Program funds may not exceed the greater amount of \$1,000,000 or 15% of the NSGP award. Grantees and sub-grantees are also encouraged to have completed as many steps as possible for a successful EHP review in support of their proposal for funding (e.g., coordination with their State Historic Preservation Office to identify potential historic preservation issues and to discuss the potential for project effects, compliance with all state and EHP laws and requirements). Projects for which the grantee believes an Environmental Assessment (EA) may be needed, as defined in 44 C.F.R. §§ 10.8 and 10.9, must also be identified to the FEMA Program Analyst within six months of the award and completed EHP review materials must be submitted no later than 12 months before the end of the period of performance. EHP review packets should be sent to Arizona Department of Homeland Security for review and will be forwarded to gpdehpinfo@fema.gov.

NSGP Program grantees using funds for construction projects must comply with the *Davis-Bacon Act* (40 U.S.C. 3141 *et seq.*). Grant recipients must ensure that their contractors or subcontractors for construction projects pay workers employed directly at the work-site no less than the prevailing wages and fringe benefits paid on projects of a similar character. Additional information, including Department of Labor (DOL) wage determinations, is available from the following website: <http://www.dol.gov/compliance/laws/comp-dbra.htm>.

Training

Nonprofit organization security personnel may only use NSGP funds to attend security-related training courses and programs within the United States. Allowable training-related costs under the NSGP are limited to attendance fees for training, and related expenses, such as materials, supplies, and/or equipment. Overtime, backfill, and/or travel expenses are not allowable costs. Allowable training topics are limited to the protection of critical infrastructure key resources, including physical and cyber security, target hardening, and terrorism awareness/employee preparedness. Training



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conducted using FFY 2014 NSGP funds must address a specific threat and/or vulnerability, as identified in the nonprofit's Investment Justification. Training should provide the opportunity to demonstrate and validate skills learned, as well as identify any gaps in these skills. Any training or training gaps, including those for children and individuals with disabilities or access and functional needs, should be identified in the AAR/IP and addressed in the training cycle.

Proposed attendance at training courses and all associated costs leveraging the NSGP must be included in the nonprofit organization's Investment Justification.

Application Information

- **May 2, 2014 – Investment Justification Applications, Data Collection Forms and Mission Statements** must be submitted to AZDOHS no later than **5:00 p.m.** The Investment Justification Application template is available at <http://www.azdohs.gov/Grants/index.asp>. No other forms of application will be accepted. (Please note that although the Investment Justification Application indicates a submission date of May 9, 2014, AZDOHS has moved the deadline to May 2, 2014 to allow time for the review process to be completed.)
- Eligible nonprofit organizations may apply for an award amount **up to \$75,000**.
- **501(c)(3) Requirement** – Applicants must be a registered nonprofit organization.
 - Applicant must provide a Dun and Bradstreet Universal Numbering System (DUNS) Number.
- Eligible nonprofit organizations **must submit a copy of their organization's Mission Statement with their application.**
- All UASI NSGP awards will **require an Environmental Planning and Historic Preservation compliance review prior to beginning any project.**

Tips for Completing the UASI NSGP Investment Justification Application

- **Review the scoring criteria** on pages 26-28 (Appendix D) of the FFY 2014 UASI NSGP Guidance [Funding Opportunity Announcement (FOA)] as well as the **review criteria** on pages 8, 9, and 29-32 (Appendix E).
- **Note character limits for each section.** You may want to consider creating the text in MS Word so that you can check the character count as well as spell-check. Then copy and paste into the Excel template.
- **Excel Investment Justification Application (Template)** – When opening the file, you may need to “enable content” to be able to enter text.
- **Save the file in Excel 2003 format** using the following naming convention: **FY 2014 AZ_PhX UASI_(Nonprofit Name)**
- **Read the Helpful Hints on the Introduction tab of the Excel Investment Justification template.**

For additional questions or information, contact Cheryl Bowen Kennedy, Arizona Department of Homeland Security at cbowen@azdohs.gov or 602.542.7077.