



ARIZONA DEPARTMENT OF HOMELAND SECURITY

FFY 2020 Homeland Security
Grant Information Seminar
January 2020

Housekeeping

- Restrooms
- Cell phones
- Questions
- Packet/handouts

What We're Going to Cover

- What is the Homeland Security Grant Program?
- Application Requirements
- Funding Priorities
- Application Review
- Helpful Hints



What is the Homeland Security Grant Program?

- Umbrella DHS/FEMA program that includes:
 - State Homeland Security Grant Program (SHSGP)
 - Urban Areas Security Initiative Grant Program (UASI)
 - Operation Stonegarden Grant Program (OPSG)
- Focus will be on SHSGP



The SHSGP assists state, Tribal and local preparedness activities that address high-priority preparedness gaps across all core capabilities where a NEXUS to terrorism exists.



Definition of Terrorism

PUBLIC LAW 107–296—NOV. 25, 2002

The term “terrorism” means any activity that—

(A) involves an act that—

- (i) is dangerous to human life or potentially destructive of critical infrastructure or key resources; and
- (ii) is a violation of the criminal laws of the United States or of any State or other subdivision of the United States; and

(B) appears to be intended—

- (i) to intimidate or coerce a civilian population;
- (ii) to influence the policy of a government by intimidation or coercion; or
- (iii) to affect the conduct of a government by mass destruction, assassination, or kidnapping.

Applicant Eligibility

Eligible

- State government agencies
- Local units of government
 - Municipal/County law enforcement
 - Fire departments/Fire districts
 - County agencies
- Tribal governments

Not Eligible

- Nonprofit entities
- For-profit businesses

Historical Federal Submission Due Dates

Dates
designated by
Congress

Up to 60 days
for grant
guidance to be
released

Up to 80 days
to submit IJ

FFY 2016 - due 4/24/2016 = 70 days

FFY 2017 - due 6/22/2017 = 20 days

FFY 2018 – due 6/20/2018 = 30 days

FFY 2019 – due 5/29/2019 = 47 days

FFY 2020 Timeline

Budget signed by President Trump on December 20, 2019

- Started the clock

Notice of Funding Opportunity (NOFO) must be released no later than February 20, 2020

FFY 2020 - 59 days to submit (according to contact at FEMA)

Investment Justification (State application to FEMA) tentatively due April 17, 2020

AZDOHS process

- Four months to complete (120 days)
- Project based application to DHS

Deadline for
submitting
2020
SHSGP/UASI
grant
applications



2020 FEBRUARY						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
26	27	28	29	30	31	1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29



FFY 2020 Homeland Security Grant Timeline
State Homeland Security Grant Program (SHSGP) and STATE AGENCIES

***Tentative**



Federal Priorities

Federal priorities are based on the State Preparedness Report (SPR) and current threats

- **Communications**
- **Soft Target Hardening (crowded spaces)**
- **Cybersecurity**

Federal Priorities - Communications

Communications

Align with Statewide Communications Interoperability Plan (SCIP)

- Comply with SAFECOM Guidance
- Must test emergency communications in conjunction with exercises
- **Core Capability - Operational Communications**

Federal Priorities - Communications

- FFY 2015-FFY 2019
Arizona allocated
\$3,845,936 toward
communications projects
 - Infrastructure
 - Portable radios
 - Mobile radios
 - Communications plans



Funding Parameters/Guidelines for Radio Communications Equipment

Radios must:

- Comply with SAFECOM Guidance
- Support SCIP Initiatives
- Be P-25 Capable
- Be Narrowband compliant
- Have a minimum of 48 channels
- Be programmed with the Arizona State Interoperable Priority Programming Guide
- Comply with the LMR Minimum Radio Standards
- For additional information contact the SWIC, Jeremy Knoll at (602) 223-2106 or SWIC@azdps.gov

Supplemental Radio/Interoperability Form

- For radio/communications-specific projects only (06CP AEL)
- Must answer detailed questions regarding your radio system
- Upload within application

Federal Priorities - Soft Target Hardening

Soft Targets and Crowded Places (ST-CP)

- ST-CP have growing threats against them
 - **Soft Targets/Public Gatherings**
 - Transportation centers, parks, shopping centers, large-scale events, special event venues
- Threat and Vulnerability Assessments
 - Identify security gaps and build capabilities that address those security needs

Federal Priorities - Soft Target Hardening

- Resources
 - www.dhs.gov is a great resource!
 - <https://www.dhs.gov/securing-soft-targets-and-crowded-places>
 - <https://www.dhs.gov/publication/securing-soft-targets-and-crowded-places-resources>
- DHS resource material designed to assist in understanding soft targets and how to protect them

Federal Priorities - Soft Target Hardening

- Examples of soft target hardening:
 - Mobile crash/jersey barriers
 - Bollards/gates/concrete landscape features (6 foot planters, boulders, reinforced park benches, etc.)
 - Camera systems
 - X-ray systems/magnetometers
- **Core Capability – Physical Protective Measures**

Federal Priorities - Cybersecurity

FEMA Information Bulletin 429a Cybersecurity

Planning

- Development of cybersecurity strategies; IT security plans; cybersecurity incident response plans; IT disaster recovery plans
- Risk/configuration assessments

Organization

- **Full time employees** - CISO, ISSO, network administrators, cybersecurity analysts (must show a net increase in FTE's)
- **Contracted support** – cybersecurity service contractors

Federal Priorities - Cybersecurity

Equipment

- **Software** - anti-virus, anti-malware, continuous monitoring, encryption, enhanced remote authentication, patch management, distributed denial of service protection
- **Hardware** - intrusion detection systems, intrusion prevention systems (firewalls), additional servers, routers/switches
- **Physical Protection** - fencing, cameras, locks (including electronic), biometrics readers, etc., to protect access to hardware and systems

CANNOT SUPPLANT NORMAL OPERATING BUDGET

Federal Priorities - Cybersecurity

Training

- **Awareness level training** - Internal or external design, conduct, and evaluation of awareness-level training
- **Cybersecurity professional training** - Internal or external design, conduct, and evaluation of professional-level cybersecurity training

Exercise

- **Awareness drills** - Drill preparation, conduct, and evaluation
- **Response/recovery exercises** - Exercise preparation, conduct, and evaluation

- **Core Capability – Cybersecurity**

FFY 2019 Cybersecurity Applications

- 13 applications submitted
- 9 applications funded
 - Hardware
 - Software
 - Licenses
- Total of \$419,098!!

2019 National Cybersecurity Review (NCSR)

- New requirement in FFY 2019
 - Self-assessment designed to measure gaps and capabilities of SLT's cybersecurity programs
- Submission period October 1, 2019-December 31, 2019
- Arizona had 100% COMPLETION by

December 31, 2019!



Ballistic Protection Equipment-FD

- Ballistic protection equipment is now allowable for fire agencies where a need can be demonstrated
- Fire agencies **MUST**:
 - partner with law enforcement agencies for buy-in
 - create standard operating procedures (SOPs) for use of equipment
 - receive training
- Resource - U.S Fire Administration – Fire/EMS Department Operation Considerations and Guide for Active Shooter/Mass Casualty Incidents

Ballistic Protection Equipment- LE

- Must be part of a specialized team
 - Tactical Entry/SWAT Team per AEL
 - Not for routine use
 - Surge capacity necessary for CBRNE terrorism response
- What does “team” mean?
 - Assigned to “team”
 - Train regularly with each other
 - Respond together



Funding Allocation

	2018	2019	% Change
SHSGP	\$3,980,000	\$4,077,500	2.45%
UASI	\$4,000,000	\$4,000,000	0%

SHSGP 80% Pass Through

- Risk Formula
 - 30% Baseline
 - 70% Risk
 - Population/Critical Infrastructure/Border Nexus

2017 Funding

	<u>Regional Allocation</u>	<u># Projects Requested</u>	<u># Projects Funded</u>	<u># Projects Fully Funded</u>	<u># Projects Partially Funded</u>
North	\$366,626	20	14	6	8
West	\$483,276	27	21	12	9
East	\$409,575	11	10	2	8
South	\$949,268	25	14	4	10
Central	\$1,445,655	29	23	17	6
State	\$204,860	7	2	0	2
Total	\$3,859,260	119	84	41	43

2018 Funding

	<u>Regional Allocation</u>	<u># Projects Requested</u>	<u># Projects Funded</u>	<u># Projects Fully Funded</u>	<u># Projects Partially Funded</u>
North	\$318,806	22	13	2	11
West	\$421,265	26	10	4	6
East	\$422,712	13	10	1	9
South	\$825,344	16	14	0	14
Central	\$1,195,873	39	24	16	8
State	\$329,700	10	4	0	4
Total	\$3,513,700	126	75	23	52

2019 Funding

	<u>Regional Allocation</u>	<u># Projects Requested</u>	<u># Projects Funded</u>	<u># Projects Fully Funded</u>	<u># Projects Partially Funded</u>
North	\$326,308	34	21	5	16
West	\$431,550	27	16	8	8
East	\$433,834	14	9	2	7
South	\$843,994	24	15	1	14
Central	\$1,226,314	41	24	19	5
State	\$284,815	16	4	0	4
Total	\$3,546,815	156	89	35	54

Funding and Deobligations

Top 3 Reasons for Deobligations

- Did not obtain price quote during application phase
 - Price fluctuation during time period between application and award/execution of award
 - Needs changed
-
- Deobligated funding reallocated to current projects that were partially funded or unfunded (exception: regional training and exercise projects)

Types of Top Funded Projects

Interoperable Communications

CBRNE

- HazMat response equipment
- Training
- Bomb Team equipment

Citizen Corps Training/Equipment

Information Sharing

- MDC's
- Integrated camera systems (ARIA/RAID)
- AZLink integration

Where to Apply

Online Application

- www.azdohs.gov
 - SHSGP page
 - UASI page

Must have account to apply

- Most stakeholders already have an account
- No need to re-register!

New Applicants

- Register at: <https://azdohs.gov/applicant/register>
- Account must be verified and activated by Strategic Planner before access is granted

Application

ONE SUBMISSION PER ORGANIZATION FOR:

- Financial Forms
 - Standard Data Collection
 - FFATA
 - Yes to both questions, must include top 5 paid executive names and salaries
 - Financial Systems Survey
- NIMS Compliance Certification
- Must be completed before application will become visible

Successful Application

Do not make assumptions about what your audience knows

- AZDOHS review (equipment allowability/programmatic/financial)
- IWG review (communications projects)/CSWG review (cybersecurity projects)/DEMA review (training and exercise requests)
- RAC/UASI review
- Director review
- FEMA/DHS review

Application Considerations

- Applications must have a **nexus to terrorism**
- If you choose “Other” in application for terrorism threat, you must be descriptive of what your terrorism threat is
- Applications must align to a Mission Area, a Core Capability, a Capability Target and at least one gap from the SPR
 - Using 2017 SPR data
 - Gaps are the same
 - Will begin using updated SPR next year!

Changes to Application

Agency Demographics- Required question

- Number of sworn personnel
 - If a non-LE/FD agency (i.e. County EM) put 0 as number of sworn personnel
- Specialized Team Project Supports
 - SWAT/EOD/TRT/HAZMAT
 - OTHER-must input/describe what is “other”
- Number of personnel on Specialized Team the project supports?

Changes to Application

- **Changed Milestones in Project Activities**
 - When awarded, use new Quarterly Report Template with updated language
 - Project Activities must be clear and communicate how you will successfully manage the project
 - **Project Activities and status updates are reported to DHS/FEMA if project is funded**

Changes to Application

➤ Indirect Costs

- Restricted to jurisdictions that have a federally approved indirect cost rate
- De minimis indirect cost rate is not allowed
- AZDOHS Indirect Cost Policy
 - https://azdohs.gov/sites/default/files/azdohs_indirect_cost_policy_9-12-19_0_0.pdf
- Questions regarding indirect costs can be directed to Assistant Director Terry Riordan, triordan@azdohs.gov

Helpful Hints – Project Summary

Enter a detailed description of the project, including what this project is requesting and what the project attempts to accomplish if funded.

- Executive Summary of the application
- Provide HIGHLIGHTS of the request including what types of equipment/training, etc.
- Sets tone for application
- If funded, this information will be included in federal application-FEMA READS THIS!

Helpful Hints – Threat Profile

Explain how this project will assist your agency in preventing/protecting against/mitigating/responding to/recovering from all hazards events and threats including your chosen terrorism threat in question 1.

- More **DETAIL** as to what you are requesting and desired accomplishments
- More emphasis on **HOW** the project will address the terrorism threat

Helpful Hints – Threat Profile

How will this project help your agency to achieve the selected capability target?

- Explain **SPECIFICALLY** how the project moves your agency closer to achieving the capability target

Helpful Hints – Factors Affecting Allowability of Costs

Allowable

- AEL
- Authorized by law or regulation

Allocable

- Falls into POETE
- CFRs
- Within scope of the grant

Reasonable

- Does not exceed what a prudent person would incur in the circumstance

Necessary

- A cost that is required for proper and efficient performance of the grant

Helpful Hints - Equipment

- Include AEL numbers and be detailed with your descriptions
 - Do not leave your application up to inference/assumptions
- **DO NOT USE BRAND NAMES/MODEL NUMBERS**
 - Ex: Motorola APX 7500
- Contact Michael Stidham for equipment/AEL related questions at (602) 542-7041 or mstidham@azdohs.gov
- If EHP B, build the review and approval time into your project activities

Helpful Hints - Training

- Each training event must be listed separately and must include the training name and description
 - Note: Conferences are considered training
- Travel reimbursement is limited to the State rate
(<https://gao.az.gov/sites/default/files/5095%20Reimbursement%20Rates%20%20181001.pdf>)
- **Only include those training events/conferences that you plan to attend during the period of performance**

Helpful Hints – Planning/Organization/M&A

- Planning/Organization/M&A
 - Each activity must be listed individually
 - Be clear with your needs
 - If requested, M&A is calculated as part of the total project cost and cannot exceed 5% of the total project cost

Helpful Hints – Partial Funding

- Partial Funding
 - Many RACs recommend partial funding for projects due to the limited funding available
 - Require detailed information of the funding priorities
 - Total amount of partial funding that is acceptable to make meaningful progress
 - Emphasis on **quantities** (if equipment)
 - Emphasis on **costs**
 - If you say no to the partial funding question, your project may not be recommended for funding

APPLICATION REVIEW

Final Considerations

- Must demonstrate nexus to terrorism
- Tell the story of your project - applications stand alone
- Be as detailed as you can without including brand names in your equipment descriptions
- **APPLY!** You have needs that relate to terrorism preparedness!

Final Considerations

- Scoring Rubric
 - 2 CFR 200.204 Review of Merit of Proposals
- 12 Month Performance Period
- Longer term projects are accepted
- If you have questions, **ASK YOUR STRATEGIC PLANNER**

References

- **Applicants are responsible for reading and understanding the grant resource material**
- <https://www.fema.gov/preparedness-non-disaster-grants>
 - Includes links to:
 - Authorized Equipment List (AEL)
 - Information Bulletins
 - NOFOs (i.e. federal grant guidance)
- <http://azdohs.gov/grants>
 - Includes links to:
 - Regional Grant Funding Guidelines
 - Grant Information Seminar PPTs
 - Helpful Hints
 - Radio Questionnaire
 - SAFECOM Guidance



Next Steps

- Register for online application account (if you don't already have one)
- Draft and submit applications by **Friday, February 21, 2020 at 5:00 pm**
- Be prepared to answer any questions that come out of the various reviews conducted
- Await notification of award (email sent in mid- to late-September)

Regional Advisory Council (RAC) Meetings

- Open meetings (Note: these dates are subject to change based on the actual due date of the federal application).
- South RAC – Tuesday 3/31/2020 Tucson
- Central RAC – Thursday 4/2/2020 Phoenix
- North RAC – Tuesday 4/7/2020 Pinetop
- West RAC – Wednesday 4/8/2020 Prescott
- East RAC – Thursday 4/9/2020 Globe
- Agendas will be posted to the AZDOHS website:

<https://azdohs.gov/meeting-notice>

Questions?

Central and East Regions, State Agencies, Phoenix UASI and Operation Stonegarden

Susan Dzbanko, Assistant Director

602-542-1777 or sdzbanko@azdohs.gov

North, West and South Regions

Tim Baldwin, Strategic Planner

602-542-7012 or tbaldwin@azdohs.gov